

PATENT Customer No. 22,852 Attorney Docket No. 8386.0003-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
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| Thomas V. RESSEMANN et al. |) Group Art Unit: 3763 |
| Application No.: 09/940,986 |) Examiner: Unknown |
| Filed: August 29, 2001 |))) |
| For: EMBOLI PROTECTION DEVICES AND RELATED METHODS OF USE | 3700 3700 |
| Commissioner for Patents and Trademarks Washington, DC 20231 | NED POT |
| Sir: | Roog # |

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of the listed document is attached.

Applicants respectfully request that the Examiner consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art

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1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com against any claim in the application and applicants determine that the cited document does not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: January 24, 2002

Elizabeth M. Burke

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INFORMATION DISCLOSURE CITATION

| Atty. Docket No. | 083BBADE03-01 | Serial No. | 09/940,986 | | |
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| Applicant | Thomas V. RESSEMANN et al. | | | | ,+3 |
| Filing Date | August 29, 2001 | Group: | 3763 | 70 | RE |

| 23 Z | U.S. PATENT DOCUMENTS | | | | | | |
|-----------------|-----------------------|--------------|----------|--------------|------------|--------------------|----------------------|
| g Date ropriate | Filing If App | Sub Class | Class | Name | Issue Date | Document Number | Examiner Initial* |
| 2 | 100 | 280 | 604 | Adams et al. | 1/31/95 | 5,385,562 | |
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| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
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| Examiner | | Date | Date Considered | | |
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| | *Examiner: | Initial if reference considered, whether or not cital through citation if not in conformance and not corcommunication to applicant. | | | |
| Form PTO 1449 Pat | | Patent and Trac | emark Office - U.S. Department of Commerce | | |